

**ON THE CLASS OF CONTACT METRIC MANIFOLDS WITH A 3- $\tau$ -STRUCTURE**

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**1. INTRODUCTION**

In [7] Gouli-Andreou and Xenos introduced the notion of a contact metric structure being a 3- $\tau$ -structure and developed some of its basic properties. Known examples however are contact metric manifolds satisfying the stronger condition that their Ricci operator commute with the fundamental collineation  $\phi$ . In this paper we show that contact metric manifolds with a 3- $\tau$ -structure indeed form a larger class and the example we give is also of interest in terms of special directions introduced in [3] on contact metric manifolds with negative sectional curvature for plane sections containing the characteristic vector field  $\xi$ .

**2. CONTACT METRIC MANIFOLDS WITH A 3- $\tau$ -STRUCTURE**

By a *real contact manifold* we mean a  $C^\infty$  manifold  $M^{2n+1}$  together with a 1-form  $\eta$  such that  $\eta \wedge (d\eta)^n \neq 0$ . It is well known that given  $\eta$  there exists a unique vector field  $\xi$ , such that  $d\eta(\xi, X) = 0$  and  $\eta(\xi) = 1$  called the *characteristic vector field* or *Reeb vector field* of the contact structure  $\eta$ . A classical theorem of Darboux states that on a contact manifold there exist local coordinates with respect to which  $\eta = dz - \sum_{i=1}^n y^i dx^i$ . We denote the *contact subbundle* or *contact distribution* defined by the subspaces  $\{X \in T_m M : \eta(X) = 0\}$  by  $\mathcal{D}$ . Roughly speaking the meaning of the contact condition,  $\eta \wedge (d\eta)^n \neq 0$ , is that the contact subbundle is as far from being integrable as possible. In fact for a contact manifold the maximum dimension of an integral submanifold of  $\mathcal{D}$  is only  $n$ ; whereas a subbundle defined by a 1-form  $\eta$  is integrable if and only if  $\eta \wedge d\eta \equiv 0$ .

A Riemannian metric  $g$  is an *associated metric* for a contact form  $\eta$  if there exists a tensor field  $\phi$  of type (1,1) such

$$\phi^2 = -I + \eta \otimes \xi, \quad \eta(X) = g(X, \xi), \quad d\eta(X, Y) = g(X, \phi Y).$$

We refer to  $(\eta, g)$  or  $(\phi, \xi, \eta, g)$  as a *contact metric structure*. All associated metrics have the same volume element, viz.,  $\frac{(-1)^n}{2^n n!} \eta \wedge (d\eta)^n$ . Since  $d\eta(\xi, X) = 0$  and  $\eta(\xi) = 1$ , computing Lie derivatives, we have  $\mathcal{L}_\xi \eta = 0$  and  $\mathcal{L}_\xi d\eta = 0$ . Thus the flow generated by  $\xi$  is volume preserving with respect to any associated metric.

In the theory of contact metric manifolds there is another tensor field that plays a fundamental role, viz.  $h = \frac{1}{2} \mathcal{L}_\xi \phi$ .  $h$  is a symmetric operator which anti-commutes with  $\phi$ ,  $h\xi = 0$  and  $h$  vanishes if and only if  $\xi$  is Killing. We denote by  $\nabla$  the Levi-Civita connection of  $g$  and by  $R$  its curvature tensor. On a contact metric manifold we have the following important relation involving  $h$ ,

$$\nabla_X \xi = -\phi X - \phi hX. \tag{*}$$

Since  $h\phi + \phi h = 0$ , if  $\lambda$  is an eigenvalue of  $h$  with eigenvector  $X$ , then  $-\lambda$  is also an eigenvalue with eigenvector  $\phi X$ . Thus, since  $h\xi = 0$ , in dimension 3 we have only one eigenfunction  $\lambda$  on the manifold to be concerned with.

The sectional curvature of a plane section containing  $\xi$  is called a  $\xi$ -sectional curvature. In this paper, except for the result from [4] described in the next paragraph, we do not need the notion of a Sasakian manifold, though it may be worth pointing out that the  $\xi$ -sectional curvature of a Sasakian manifold is  $+1$ . For a general reference to the ideas so far in this section see [2].

In [4] it was shown that a 3-dimensional contact metric manifold  $M^3$  whose Ricci operator  $Q$  commutes with the tensor field  $\phi$  is either Sasakian, flat or locally isometric to a left-invariant metric on the Lie group  $SU(2)$  or  $SL(2, \mathbb{R})$ . In the latter cases  $M^3$  has constant  $\xi$ -sectional curvature  $k = 1 - \lambda^2 < 1$  and the sectional curvature of a plane section orthogonal to  $\xi$  is  $-k$  (see also [5]), and the structure occurs on these Lie groups with  $k > 0$  for  $SU(2)$  and  $k < 0$  for  $SL(2, \mathbb{R})$ . It was also shown in [5] (see Lemma 3.1) that on a 3-dimensional contact metric manifold satisfying  $Q\phi = \phi Q$ , the eigenfunction  $\lambda$  is a constant.

On 3-dimensional contact metric manifolds the condition  $Q\phi = \phi Q$  is equivalent to other important curvature conditions (see e.g. [5]). It is equivalent to the contact metric manifold being  $\eta$ -Einstein and it is equivalent to the characteristic vector field  $\xi$ , belonging to the  $k$ -nullity distribution, i.e.  $R_{XY}\xi = k(\eta(Y)X - \eta(X)Y)$ .

In [7] Gouli-Andreou and Xenos introduced the notion of a  $3$ - $\tau$ -manifold, namely a 3-dimensional contact metric manifold on which

$$\nabla_{\xi}h = 0.$$

The name comes from the equivalent condition  $\nabla_{\xi}\tau = 0$  where  $\tau = \mathcal{L}_{\xi}g$ ; in particular  $\tau$  and  $h$  are related by  $\tau(X, Y) = 2g(h\phi X, Y)$ . Known examples, however, are contact metric manifolds satisfying the stronger condition that their Ricci operator  $Q$  commutes with  $\phi$  and the two conditions are not unrelated. The following proposition is proved in [3] but for completeness we give the proof here as well.

**Proposition.** A 3-dimensional contact metric manifold on which  $Q\phi = \phi Q$  is a  $3$ - $\tau$ -manifold. A  $3$ - $\tau$ -manifold on which  $Q\xi$  is collinear with  $\xi$ , satisfies  $Q\phi = \phi Q$ .

**Proof.** If  $Q\phi = \phi Q$ , then  $\phi\xi = 0$  gives  $\phi Q\xi = 0$  and hence that  $Q\xi$  is collinear with  $\xi$ . In [8] (Proposition 3.1) Perrone proved that on a 3-dimensional contact metric manifold

$$\begin{aligned} (\nabla_{\xi}\tau)(X, Y) &= g(Q\phi X, \phi Y) - g(QX, Y) + \eta(X)g(Q\xi, Y) + \eta(Y)g(Q\xi, X) \\ &\quad - \eta(X)\eta(Y)g(Q\xi, \xi). \end{aligned}$$

Thus if  $Q\phi = \phi Q$ ,  $\nabla_{\xi}\tau = 0$  giving the first statement.

If  $(\nabla_{\xi}\tau)(X, Y) = 0$  and  $Q\xi = f\xi$ , Perrone's formula yields  $g(Q\phi X, \phi Y) - g(QX, Y) + f\eta(X)\eta(Y) = 0$  or

$$-\phi Q\phi X - QX + f\eta(X)\xi = 0.$$

Applying  $\phi$  and noting that  $\eta(Q\phi X) = g(\xi, Q\phi X) = g(Q\xi, \phi X) = 0$ , we have  $Q\phi = \phi Q$  as desired.  $\square$

We may regard equation (\*) as indicating how  $\xi$  or, by orthogonality, the contact subbundle, rotates as one moves around on the manifold. For example when  $h = 0$ , as we move in a direction  $X$  orthogonal to  $\xi$ ,  $\xi$  is always “turning” or “falling” toward  $-\phi X$ . If  $hX = \lambda X$ , then  $\nabla_X \xi = -(1 + \lambda)\phi X$  and again  $\xi$  is turning toward  $-\phi X$  if  $\lambda > -1$  or toward  $\phi X$  if  $\lambda < -1$ . Recall that we noted above that if  $\lambda$  is an eigenvalue of  $h$  with eigenvector  $X$ , then  $-\lambda$  is also an eigenvalue with eigenvector  $\phi X$ . However one can ask if there can ever be directions, say  $Y$  orthogonal to  $\xi$ , along which  $\xi$  “falls” forward or backward in the direction of  $Y$  itself. In [3] the author proved the following result.

**Theorem.** Let  $M^{2n+1}$  be a contact metric manifold. If the tensor field  $h$  admits an eigenvalue  $\lambda > 1$  at a point  $P$ , then there exists a vector  $Y$  orthogonal to  $\xi$  at  $P$  such that  $\nabla_Y \xi$  is collinear with  $Y$ . In particular if  $M^{2n+1}$  has negative  $\xi$ -sectional curvature such directions  $Y$  exist.

Note that when there exists a direction  $Y$  along which  $\nabla_Y \xi$  is collinear with  $Y$ , say  $\nabla_Y \xi = \alpha Y$ ,  $\alpha = -\sqrt{\lambda^2 - 1}$ , and there is also a second such direction  $Z$ . For  $Z$  we have  $\nabla_Z \xi = -\alpha Z$ ; thus we think of  $\xi$  as falling backward as we move in the direction  $Y$  and falling forward as we move in the direction  $Z$ . We also note that

$$g(Y, Z) = \frac{-1}{\lambda}$$

and hence that such directions  $Y$  and  $Z$  are never orthogonal.

### 3. ANOSOV FLOWS

Classically an Anosov flow is defined as follows [1, pp. 6-7]. Let  $M$  be a compact differentiable manifold,  $\xi$  a non-vanishing vector field and  $\{\psi_t\}$  its 1-parameter group of diffeomorphisms.  $\{\psi_t\}$  is said to be an *Anosov flow* (or  $\xi$  to be *Anosov*) if there exist subbundles  $E^s$  and  $E^u$  which are invariant along the flow and such that  $TM = E^s \oplus E^u \oplus \{\xi\}$  and there exists a Riemannian metric such that

$$|\psi_{t*} Y| \leq ae^{-ct} |Y| \text{ for } t \geq 0 \text{ and } Y \in E_p^s,$$

$$|\psi_{t*} Y| \leq ae^{ct} |Y| \text{ for } t \leq 0 \text{ and } Y \in E_p^u$$

where  $a$  and  $c$  are positive constants independent of  $p \in M$  and  $Y$  in  $E_p^s$  or  $E_p^u$ .  $E^s$  and  $E^u$  are called the *stable* and *unstable* subbundles or the *contracting* and *expanding* subbundles.

When  $M$  is compact the notion is independent of the Riemannian metric. If  $M$  is not compact the notion is metric dependent. In our example of a contact metric manifold with a 3- $\tau$ -structure below, we will give a metric on  $\mathbb{R}^3$  with respect to which the coordinate field  $\frac{\partial}{\partial z} = \frac{1}{2}\xi$  is Anosov, even though  $\frac{\partial}{\partial z}$  is clearly not Anosov with respect to the Euclidean metric on  $\mathbb{R}^3$ .

Now let  $M$  be a 3-dimensional contact metric manifold with negative  $\xi$ -sectional curvature. It was shown in [3] that if the characteristic vector field  $\xi$  generates an Anosov flow and the special directions agree with the stable and unstable directions, then the contact metric structure is a 3- $\tau$ -structure. Moreover in the compact case one has that  $M$  satisfies  $Q\phi = \phi Q$  and that  $M$  is a compact quotient of  $\widetilde{SL}(2, \mathbb{R})$ . This can be proved from properties of a

3- $\tau$ -structure [3] or seen from a result of E. Ghys [6] that if  $\xi$  is Anosov on a compact 3-dimensional contact manifold  $M$  and the stable and unstable directions are smooth, then  $M$  is a compact quotient of  $\widetilde{SL}(2, \mathbb{R})$ .

As an aside we note that on a compact manifold, an Anosov flow has a countable number of periodic orbits [1, Theorem 2] and if the flow admits an integral invariant, in particular if it is volume preserving, then the set of periodic orbits is dense in  $M$  [1, Theorem 3]. This in itself has some implications for contact geometry. An important conjecture of Weinstein [9] is that on a simply connected compact contact manifold  $\xi$  must have a closed orbit, so in particular the Weinstein conjecture holds for a compact contact manifold on which  $\xi$  is Anosov. There is no known example of a non-simply-connected compact contact manifold for which  $\xi$  does not have a closed orbit and the author has long felt that the Weinstein conjecture is true without the assumption of simple connectivity. The 3-dimensional torus, has a contact structure for which the set of periodic orbits is dense but no non-periodic orbit is dense in the whole manifold, see e.g. [2, p. 8].

#### 4. 3- $\tau$ -MANIFOLDS WITH $Q\phi \neq \phi Q$

We now show the existence of a family of 3- $\tau$ -manifolds on which  $Q\phi \neq \phi Q$ .

**Theorem.**  $\mathbb{R}^3$  with the standard Darboux contact form  $\eta = \frac{1}{2}(dz - ydx)$  carries associated metrics giving 3- $\tau$ -structures for which  $Q\phi \neq \phi Q$ .

**Proof.** The characteristic vector field of  $\eta = \frac{1}{2}(dz - ydx)$  is  $\xi = 2\frac{\partial}{\partial z}$ . Let  $f$  be a smooth function of  $x$  and  $y$  bounded below by a positive constant  $c$ . Then the metric given by

$$g = \frac{1}{4} \begin{pmatrix} \frac{e^f + (1+f^2)e^{-f} - 2}{f^2} + y^2 & \frac{e^f - 1}{f} & -y \\ \frac{e^f - 1}{f} & e^{2f} & 0 \\ -y & 0 & 1 \end{pmatrix}$$

is an associated metric. The tensor fields  $\phi$  and  $h$  are given by

$$\phi = \begin{pmatrix} \frac{e^f - 1}{f} & e^{2f} & 0 \\ -(\frac{e^f + (1+f^2)e^{-f} - 2}{f^2}) & -\frac{e^f - 1}{f} & 0 \\ y(\frac{e^f - 1}{f}) & ye^{2f} & 0 \end{pmatrix},$$

$$h = \begin{pmatrix} e^{2f} & fe^{2f} & 0 \\ -(\frac{fe^f + (1+f^2)(-f)e^{-f}}{f^2}) & -e^{2f} & 0 \\ ye^{2f} & yfe^{2f} & 0 \end{pmatrix}.$$

By direct computation  $\nabla_\xi h = 0$  and therefore  $\mathbb{R}^3$  with this structure is a 3- $\tau$ -manifold. Also  $2\lambda^2 = trh^2 = 2(1 + f^2)$  and hence the positive eigenfunction of  $h$  is  $\lambda = \sqrt{1 + f^2} > 1$ . As we remarked earlier and as was shown in [5], on a 3-dimensional contact metric manifold satisfying  $Q\phi = \phi Q$ , the eigenfunction  $\lambda$  is a constant. Thus if  $f$  is not constant, this structure on  $\mathbb{R}^3$  is a 3- $\tau$ -structure satisfying  $Q\phi \neq \phi Q$ . □

For this structure the special directions discussed in Section 2 are given by

$$Y = f\frac{\partial}{\partial x} - \frac{\partial}{\partial y} + yf\frac{\partial}{\partial z}, \quad Z = \frac{\partial}{\partial y}.$$

To check that  $\xi = 2\frac{\partial}{\partial z}$  is Anosov with respect to  $g$ , consider for simplicity just  $\frac{\partial}{\partial z}$ ; its flow  $\psi_t$  maps a point  $P_0(x, y, z)$  to the point  $P(x, y, z + t)$ . Now recalling that the function  $f$  was chosen to be bounded below by a positive constant  $c$ , we have for  $t \leq 0$ ,

$$\left| \psi_{t*} \frac{\partial}{\partial y}(P_0) \right| = \left| \frac{\partial}{\partial y}(P) \right| = \frac{1}{2} e^{\frac{(z+t)f}{2}} = e^{\frac{tf}{2}} \left| \frac{\partial}{\partial y}(P_0) \right| \leq e^{\frac{ct}{2}} \left| \frac{\partial}{\partial y}(P_0) \right|.$$

Similarly for  $t \geq 0$ ,

$$\begin{aligned} \left| \psi_{t*} \left( f \frac{\partial}{\partial x} - \frac{\partial}{\partial y} + yf \frac{\partial}{\partial z} \right) (P_0) \right| &= \left| \left( f \frac{\partial}{\partial x} - \frac{\partial}{\partial y} + yf \frac{\partial}{\partial z} \right) (P) \right| = \frac{1}{2} \sqrt{1 + f^2} e^{\frac{-(z+t)f}{2}} \\ &= e^{\frac{-tf}{2}} \left| \left( f \frac{\partial}{\partial x} - \frac{\partial}{\partial y} + yf \frac{\partial}{\partial z} \right) (P_0) \right| \leq e^{\frac{-ct}{2}} \left| \left( f \frac{\partial}{\partial x} - \frac{\partial}{\partial y} + yf \frac{\partial}{\partial z} \right) (P_0) \right|. \end{aligned}$$

Thus  $\frac{\partial}{\partial z}$ , equivalently  $\xi$ , is Anosov with respect to this metric;  $Y$  determines the stable subbundle and  $Z$  the unstable subbundle.

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