

# Remarks on digital deformation

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**Abstract.** The paper [5] defines a notion of digital deformation and claims to prove that if  $(X, p)$  is  $k$ -deformable into  $(A, p)$ , then these two pointed images have isomorphic fundamental groups. We present a simple counterexample to this claim.

**Keywords:** digital image, digitally continuous, deformation, homotopy, fundamental group, digital topology

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## 1 Introduction

In *digital topology*, we examine geometric properties of digital images via tools adapted from Euclidean topology. These tools include digital versions of continuous functions, *homotopy* (continuous deformation), homotopy type, and the fundamental group. A theme of several recent papers [3, 4, 5] is the relationship between the fundamental groups  $\Pi_1^{k_0}(X, x)$  and  $\Pi_1^{k_1}(f(X), f(x))$ , where  $f : (X, x) \rightarrow (f(X), f(x))$  is a  $(k_0, k_1)$ -continuous function.

Of interest in this paper is the case of  $f$  being a pointed deformation. It is claimed in [5] that for pointed deformations  $f : (X, p) \rightarrow (A, p)$ ,  $\Pi_1^{k_0}(X, p)$  and  $\Pi_1^{k_1}(A, p)$  are isomorphic. In this paper, we present a simple counterexample to this claim.

## 2 Preliminaries

### 2.1 General properties

Let  $\mathbf{N}$  be the set of natural numbers and let  $\mathbf{Z}$  denote the set of integers. Then  $\mathbf{Z}^n$  is the set of lattice points in Euclidean  $n$ -dimensional space.

Adjacency relations frequently used for digital images include the following [8]. Two points  $p$  and  $q$  in  $\mathbf{Z}^2$  are 8-adjacent if they are distinct and differ

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by at most 1 in each coordinate;  $p$  and  $q$  in  $\mathbf{Z}^2$  are 4-adjacent if they are 8-adjacent and differ in exactly one coordinate. Two points  $p$  and  $q$  in  $\mathbf{Z}^3$  are 26-*adjacent* if they are distinct and differ by at most 1 in each coordinate; they are 18-*adjacent* if they are 26-adjacent and differ in at most two coordinates; they are 6-adjacent if they are 18-adjacent and differ in exactly one coordinate. For  $k \in \{4, 8, 6, 18, 26\}$ , a  $k$ -*neighbor* of a lattice point  $p$  is a point that is  $k$ -adjacent to  $p$ .

Let  $\kappa$  be an adjacency relation defined on  $\mathbf{Z}^n$ . A digital image  $X \subset \mathbf{Z}^n$  is  $\kappa$ -connected [6] if and only if for every pair of points  $\{x, y\} \subset X$ ,  $x \neq y$ , there exists a set  $\{x_0, x_1, \dots, x_c\} \subset X$  such that  $x = x_0$ ,  $x_c = y$ , and  $x_i$  and  $x_{i+1}$  are  $\kappa$ -neighbors,  $i \in \{0, 1, \dots, c-1\}$ . A  $\kappa$ -*component* of  $X$  is a maximal  $\kappa$ -connected subset of  $X$ .

**1 Definition** ([2]). Let  $a, b \in \mathbf{Z}$ ,  $a < b$ . A digital interval is a set of the form

$$[a, b]_{\mathbf{Z}} = \{z \in \mathbf{Z} \mid a \leq z \leq b\}$$

in which 2-adjacency is assumed.

**2 Definition** ([3]; see also [11]). Let  $X \subset \mathbf{Z}^{n_0}$ ,  $Y \subset \mathbf{Z}^{n_1}$ . Let  $f : X \rightarrow Y$  be a function. Let  $\kappa_i$  be an adjacency relation defined on  $\mathbf{Z}^{n_i}$ ,  $i \in \{0, 1\}$ . We say  $f$  is  $(\kappa_0, \kappa_1)$ -continuous if for every  $\kappa_0$ -connected subset  $A$  of  $X$ ,  $f(A)$  is a  $\kappa_1$ -connected subset of  $Y$ .

We say a function satisfying Definition 2 is *digitally continuous*. This definition implies the following.

**3 Proposition** ([3]; see also [11]). *Let  $X$  and  $Y$  be digital images. Then the function  $f : X \rightarrow Y$  is  $(\kappa_0, \kappa_1)$ -continuous if and only if for every  $\{x_0, x_1\} \subset X$  such that  $x_0$  and  $x_1$  are  $\kappa_0$ -adjacent, either  $f(x_0) = f(x_1)$  or  $f(x_0)$  and  $f(x_1)$  are  $\kappa_1$ -adjacent.*

For example, if  $\kappa$  is an adjacency relation on a digital image  $Y$ , then  $f : [a, b]_{\mathbf{Z}} \rightarrow Y$  is  $(2, \kappa)$ -continuous if and only if for every  $\{c, c+1\} \subset [a, b]_{\mathbf{Z}}$ , either  $f(c) = f(c+1)$  or  $f(c)$  and  $f(c+1)$  are  $\kappa$ -adjacent.

## 2.2 Digital homotopy

Roughly, a homotopy between continuous functions is a continuous deformation of one of the functions into the other over a time period.

**4 Definition** ([3]; see also [7]). Let  $X$  and  $Y$  be digital images. Let  $f, g : X \rightarrow Y$  be  $(\kappa, \kappa')$ -continuous functions. Suppose there is a positive integer  $m$  and a function  $F : X \times [0, m]_{\mathbf{Z}} \rightarrow Y$  such that

- for all  $x \in X$ ,  $F(x, 0) = f(x)$  and  $F(x, m) = g(x)$ ;

- for all  $x \in X$ , the induced function  $F_x : [0, m]_{\mathbf{Z}} \rightarrow Y$  defined by

$$F_x(t) = F(x, t) \text{ for all } t \in [0, m]_{\mathbf{Z}}$$

is  $(2, \kappa')$ -continuous.

- for all  $t \in [0, m]_{\mathbf{Z}}$ , the induced function  $F_t : X \rightarrow Y$  defined by

$$F_t(x) = F(x, t) \text{ for all } x \in X$$

is  $(\kappa, \kappa')$ -continuous.

Then  $F$  is a digital  $(\kappa, \kappa')$ -homotopy between  $f$  and  $g$ , and  $f$  and  $g$  are digitally  $(\kappa, \kappa')$ -homotopic in  $Y$ . If for some  $x \in X$  we have  $F(x, t) = F(x, 0)$  for all  $t \in [0, m]_{\mathbf{Z}}$ , we say  $F$  holds  $x$  fixed.

The notation

$$f \simeq_{\kappa, \kappa'} g$$

indicates that functions  $f$  and  $g$  are digitally  $(\kappa, \kappa')$ -homotopic in  $Y$ .

If  $(X, \kappa)$  is a digital image and  $x_0 \in X$ , the triple  $(X, x_0, \kappa)$  is a *pointed digital image*.

For  $p \in Y$ , we denote by  $\bar{p}$  the constant function  $\bar{p} : X \rightarrow Y$  defined by  $\bar{p}(x) = p$  for all  $x \in X$ .

**5 Definition.** A digital image  $(X, \kappa)$  is  $\kappa$ -contractible [7, 2] if its identity map is  $(\kappa, \kappa)$ -homotopic to a constant function  $\bar{p}$  for some  $p \in X$ . If the homotopy of the contraction holds  $p$  fixed, we say  $(X, p, \kappa)$  is pointed  $\kappa$ -contractible.

**6 Example** ([2]). Every digital interval  $[0, m]_{\mathbf{Z}}$  is pointed contractible.

### 2.3 Digital loops

**7 Definition** (See [7]). A digital  $\kappa$ -path in a digital image  $X$  is a  $(2, \kappa)$ -continuous function  $f : [0, m]_{\mathbf{Z}} \rightarrow X$ . If, further,  $f(0) = f(m)$ , we call  $f$  a digital  $\kappa$ -loop, and the point  $f(0)$  is the basepoint of the loop  $f$ . If  $f$  is a constant function, it is called a trivial loop.

If  $f$  and  $g$  are digital  $\kappa$ -paths in  $X$  such that  $g$  starts where  $f$  ends, the *product* (see [7]) of  $f$  and  $g$ , written  $f \cdot g$ , is, intuitively, the  $\kappa$ -path obtained by following  $f$  by  $g$ . Formally, if  $f : [0, m_1]_{\mathbf{Z}} \rightarrow X$ ,  $g : [0, m_2]_{\mathbf{Z}} \rightarrow X$ , and  $f(m_1) = g(0)$ , then  $(f \cdot g) : [0, m_1 + m_2]_{\mathbf{Z}} \rightarrow X$  is defined by

$$(f \cdot g)(t) = \begin{cases} f(t) & \text{if } t \in [0, m_1]_{\mathbf{Z}}; \\ g(t - m_1) & \text{if } t \in [m_1, m_1 + m_2]_{\mathbf{Z}}. \end{cases}$$

Unlike its Euclidean model, a digital interval is a finite set, so were we to restrict homotopy classes of loops to loops defined on the same digital interval,

we would limit the class of a given loop undesirably. The following notion of *trivial extension* permits a loop to “stretch” and remain in the same pointed homotopy class. Intuitively,  $f'$  is a trivial extension of  $f$  if  $f'$  follows the same path as  $f$ , but more slowly, with pauses for rest (subintervals of the domain on which  $f'$  is constant).

**8 Definition** ([3]). Let  $f$  and  $f'$  be  $\kappa$ -paths in a digital image  $X$ . We say  $f'$  is a trivial extension of  $f$  if there are sets of  $\kappa$ -paths  $\{f_1, f_2, \dots, f_k\}$  and  $\{F_1, F_2, \dots, F_p\}$  in  $X$  such that

- (1)  $k \leq p$ ;
- (2)  $f = f_1 \cdot f_2 \cdot \dots \cdot f_k$ ;
- (3)  $f' = F_1 \cdot F_2 \cdot \dots \cdot F_p$ ; and
- (4) there are indices  $1 \leq i_1 < i_2 < \dots < i_k \leq p$  such that
  - $F_{i_j} = f_j$ ,  $1 \leq j \leq k$ , and
  - $i \notin \{i_1, i_2, \dots, i_k\}$  implies  $F_i$  is a trivial loop.

This notion lets us compare the digital homotopy properties of loops even if their domains have differing cardinality, since if  $m_1 \leq m_2$ , we obtain a trivial extension of a loop  $f : [0, m_1]_{\mathbf{Z}} \rightarrow X$  to  $f' : [0, m_2]_{\mathbf{Z}} \rightarrow X$  via

$$f'(t) = \begin{cases} f(t) & \text{if } 0 \leq t \leq m_1; \\ f(m_1) & \text{if } m_1 \leq t \leq m_2. \end{cases}$$

The following notions are useful for defining the class of a pointed loop.

**9 Definition.** Let  $f, g : [0, m]_{\mathbf{Z}} \rightarrow (X, x_0)$  be digital loops with basepoint  $x_0$ . If  $H : [0, m]_{\mathbf{Z}} \times [0, M]_{\mathbf{Z}} \rightarrow X$  is a digital homotopy between  $f$  and  $g$  such that for all  $t \in [0, M]_{\mathbf{Z}}$  we have

$$H(0, t) = H(m, t),$$

we say  $H$  is loop-preserving. If, further, for all  $t \in [0, M]_{\mathbf{Z}}$  we have

$$H(0, t) = H(m, t) = x_0,$$

we say  $H$  holds the endpoints fixed.

The notion of  $H$  holding the endpoints fixed was introduced in [4]. The term “loop-preserving” suggests that every (time) stage of the homotopy yields a digital loop.

Digital  $\kappa$ -loops  $f$  and  $g$  in  $X$  with the same basepoint  $p$  belong to the same  $\kappa$ -loop class in  $X$  if there are trivial extensions  $f'$  and  $g'$  of  $f$  and  $g$ , respectively,

with domains of the same cardinality, and a loop-preserving homotopy between  $f'$  and  $g'$  that holds the endpoints fixed [3].

Membership in the same loop class in  $(X, x_0)$  is an equivalence relation among digital  $\kappa$ -loops [3].

Let  $[f]$  be the loop class of a loop  $f$  in  $X$ . We have the following.

**10 Proposition** ([3, 7]). *Suppose  $f_1, f_2, g_1, g_2$  are digital loops in a pointed digital image  $(X, x_0)$ , with  $f_2 \in [f_1]$  and  $g_2 \in [g_1]$ . Then  $f_2 \cdot g_2 \in [f_1 \cdot g_1]$ .*

## 2.4 Digital fundamental group

The digital fundamental group is derived from the classical fundamental group of algebraic topology (see [10]).

Let  $(X, p, \kappa)$  be a pointed digital image. Consider the set  $\Pi_1^\kappa(X, p)$  of  $\kappa$ -loop classes  $[f]$  in  $X$  with basepoint  $p$ . By Proposition 10, the *product* operation

$$[f] * [g] = [f \cdot g]$$

is well-defined on  $\Pi_1^\kappa(X, p)$ .

The operation  $*$  is associative on  $\Pi_1^\kappa(X, p)$  [7].

**11 Lemma** ([3]). *Let  $(X, p)$  be a pointed digital image. Let  $\bar{p} : [0, m]_{\mathbf{Z}} \rightarrow X$  be the constant function  $\bar{p}(t) = p$ . Then  $[\bar{p}]$  is an identity element for  $\Pi_1^\kappa(X, p)$ .*

**12 Lemma** ([3]). *If  $f : [0, m]_{\mathbf{Z}} \rightarrow X$  represents an element of  $\Pi_1(X, p)$ , then the function  $g : [0, m]_{\mathbf{Z}} \rightarrow X$  defined by*

$$g(t) = f(m - t) \text{ for } t \in [0, m]_{\mathbf{Z}}$$

*is an element of  $[f]^{-1}$  in  $\Pi_1^\kappa(X, p)$ .*

**13 Theorem** ([3]).  *$\Pi_1^\kappa(X, p)$  is a group under the  $*$  product operation, the  $\kappa$ -fundamental group of  $(X, p)$ .*

We may interpret the following result to say that in a connected digital image  $X$ , the digital fundamental group is independent of the choice of basepoint.

**14 Theorem** ([3]). *Let  $X$  be a digital image with adjacency relation  $\kappa$ . If  $p$  and  $q$  belong to the same  $\kappa$ -component of  $X$ , then  $\Pi_1^\kappa(X, p)$  and  $\Pi_1^\kappa(X, q)$  are isomorphic groups.*

**15 Proposition** ([4]). *Let  $X$  be a pointed  $\kappa$ -contractible digital image and let  $p \in X$ . Then  $\Pi_1^\kappa(X, p)$  is a trivial group.*

## 2.5 Deformation and deformation retraction

We have the following.

**16 Definition** ([5]). Let  $(X, \kappa)$  be a digital image and let  $A$  be a nonempty subset of  $X$ . Then  $X$  is  $\kappa$ -deformable into  $A$  if there is a  $\kappa$ -homotopy  $D : X \times [0, m]_{\mathbf{Z}} \rightarrow X$  such that  $D(x, 0) = x$  and  $D(x, m) \in A$  for all  $x \in X$ .  $D$  is called a  $\kappa$ -deformation. If for some  $x_0 \in A$  we have  $D(x_0, t) = x_0$  for all  $t \in [0, m]_{\mathbf{Z}}$ , we say  $X$  is pointed  $\kappa$ -deformable into  $A$ , and  $D$  is a pointed  $\kappa$ -deformation.

Classical notions of topology [1] yielded the concepts of digital retraction and deformation retraction in [2]. Let  $(X, \kappa)$  be a digital image and let  $A$  be a nonempty subset of  $X$ . A *retraction* of  $X$  onto  $A$  is a  $(\kappa, \kappa)$ -continuous function  $r : X \rightarrow A$  such that  $r(a) = a$  for all  $a \in A$ . A  *$\kappa$ -deformation retraction* of  $X$  to  $A$  is a  $\kappa$ -homotopy  $H : X \times [0, m]_{\mathbf{Z}} \rightarrow X$  such that the induced map  $H(-, 0)$  is the identity map  $1_X$ , and the induced map  $H(-, m)$  is a retraction of  $X$  onto  $A$ .

### 3 Deformations, deformation retractions, and fundamental groups

Notice that a deformation retraction is a pointed deformation. We have the following.

**17 Theorem** ([4]). *Let  $A$  be a nonempty subset of a digital image  $X$  and let  $H : X \times [0, m]_{\mathbf{Z}} \rightarrow X$  be a  $\kappa$ -deformation retraction of  $X$  onto  $A$ . For  $a \in A$ , the inclusion map  $i : (A, a) \hookrightarrow (X, a)$  induces an isomorphism of  $\Pi_1^{\kappa}(A, a)$  and  $\Pi_1^{\kappa}(X, a)$ .*

However, a pointed deformation from a digital image  $X$  into its nonempty subset  $A$  need not yield an isomorphism of the fundamental groups of  $X$  and  $A$ , despite the claim of Han (presented as Theorem 3 of [5]) to the contrary. Indeed, Han's claim is false even if the deformation is required to be onto  $A$  at the end of the homotopy. Consider the pair  $(X, A)$  defined as follows.  $X = ([0, 2]_{\mathbf{Z}} \times [0, 2]_{\mathbf{Z}}) \cup \{(j, 0)\}_{j=3}^7$ . Let  $A \subset X$  be the set  $A = ([0, 2]_{\mathbf{Z}} \times [0, 2]_{\mathbf{Z}}) \setminus \{(1, 1)\}$ , a simple closed 4-curve; hence  $\Pi_1^4(A, a)$  is isomorphic to  $\mathbf{Z}$  [3, 8, 9]. It is easily seen that  $X$  is 4-contractible via the function  $h : X \times [0, 9]_{\mathbf{Z}} \rightarrow X$  defined by

$$h(x, y, t) = \begin{cases} (x, \max\{0, y - t\}) & \text{for } 0 \leq t \leq 2; \\ (\max\{0, x + 2 - t\}, 0) & \text{for } 3 \leq t \leq 9. \end{cases}$$

Hence  $X$  has a trivial 4-fundamental group.

We show there is a 4-deformation of  $X$  onto  $A$  as follows. Consider the

function  $H : X \times [0, 8]_{\mathbf{Z}} \rightarrow X$  given as follows.

$$H(x, y, t) = \begin{cases} (\max\{0, x - t\}, y) & \text{if } t \in [0, 2]_{\mathbf{Z}}; \\ (\max\{2, x - t\}, 0) & \text{if } (x, y) \in \{(j, 0)\}_{j=5}^7, 3 \leq t \leq 5; \\ H(x, y, 2) & \text{if } (x, y) \notin \{(j, 0)\}_{j=5}^7, 3 \leq t \leq 5; \\ (2, 1) & \text{if } (x, y) \in \{(j, 0)\}_{j=5}^7, t = 6; \\ H(x, y, 5) & \text{if } (x, y) \notin \{(j, 0)\}_{j=5}^7, t = 6; \\ (2, 2) & \text{if } (x, y) \in \{(6, 0), (7, 0)\}, t = 7; \\ H(x, y, 6) & \text{if } (x, y) \notin \{(6, 0), (7, 0)\}, t = 7; \\ (1, 2) & \text{if } (x, y) = (7, 0), t = 8; \\ H(x, y, 7) & \text{if } (x, y) \neq (7, 0), t = 8. \end{cases}$$

It is easily seen that this function is a 4-homotopy between  $1_X$  and the function  $H_8 : X \rightarrow X$ , defined by  $H_8(x, y) = H(x, y, 8)$ , that is onto  $A$ .

What is valid from Han's paper is the following.

**18 Theorem.** *Let  $X$  be a digital image and let  $A$  be a non-empty subset of  $X$ . Let  $D : X \times [0, m]_{\mathbf{Z}} \rightarrow X$  be a pointed  $\kappa$ -deformation of  $X$  into  $A$ , with  $D(p, t) = p$  for some  $p \in A$  and all  $t \in [0, m]_{\mathbf{Z}}$ . Let  $r : X \rightarrow A$  be the map defined by  $r(x) = D(x, m)$  for all  $x \in X$ . Then the induced homomorphism  $r_* : \Pi_1^{\kappa}(X, p) \rightarrow \Pi_1^{\kappa}(A, p)$  is one to one.*

PROOF. [5] Let  $i : A \rightarrow X$  be the inclusion map. Then  $D$  is a homotopy between  $1_X$  and  $i \circ r$ . Therefore,  $1_{\Pi_1^{\kappa}(X, p)} = i_* \circ r_*$ . The assertion follows.  $\square$

## 4 Summary

We have shown that the claim of [5], that a pointed digital deformation induces an isomorphism between fundamental groups, is false.

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