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.

SEPARATION AXIOMS BY SIMPLE EXTENSIONS (*)

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Sunto. Nella prima parte studieremo, per i = 0,1,2,2½, gli spazi topologici, che chiameremo QT_i, che verificano gli assiomi di separazione T_j per j < i e che hanno un'estensione semplice T_i. Nella seconda parte prenderemo in considerazione topologie, su un

sostegno fissato S, che sono T_i , j < i, e non- T_i massimali (MN T_i).

Daremo alcuni esempi e proveremo alcune proprietà delle topologie QT_i e delle topolgie MNT_i.

1. Given a topological space (S,τ) and a subset <u>X</u> <u>c</u> S, we shall denote by $\tau(X) = \{A \cup (B \cap X) / A, Be\tau\}$ the simple extension of τ by X.

We shall denote by clX(intX) the closure (the interior) of X in (S,τ) and by cl_T,X (int_T,X) the closure (the interior) of X with respect to any other topology τ' on S. $\mathcal{T}(x)$ ($\tau(x)$) will mean the family of (open) neighbourhoods of xeS in the topology τ . We shall call fundamental neighbourhood system of x in the topological space

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 (S,τ) a basis of the filter $\mathcal{T}(x)$.

If X \underline{c} S, CX will be the complement of X in S while we shall denote by C_yX or Y-X the complement of X in Y when X \underline{c} Y \underline{c} S.

The topological definitions we need follow W.J. Thron's Topological structures [6] except that we shall denote by $T_{2\frac{1}{2}}$ the T_{2a} axiom.

Let R be a topological property which is preserved under expansions, i.e. such that (S,τ') is R whenever (S,τ) is and $\tau' \supseteq \tau$.

Definition 1. We shall call a topological space (S,τ) quasi-R if there exists a subset X <u>c</u> S which determines a R simple extension $(S,\tau(X))$.

Of course an expansion of a quasi-R topology on a set S is a qua

si-R topology on S too, because $\tau(X) \subseteq \tau'(X)$ if $\tau \subseteq \tau'$ are topologies on S and X \subseteq S.

Now we are going to investigate the existence and some properties of quasi-T_i spaces, i = 0,1,2,2¹/₂, providing examples and stating re lations with separation axioms T_0, T_1, T_2, T_{21} .

The following examples show the existence of non-quasi- T_0 and of quasi- T_i spaces.

Example 1. The indiscrete topology ω on a set S with three or more points is not quasi-T₀: trivially $\omega(X) = \{\emptyset, X, S\}$ fails to be T₀ if X <u>c</u>S.

Example 2. Let $S = \{x, x', y, y'\}$ and $\tau = \{\emptyset, \{x, x'\}, \{y, y'\}, S\}$. (S, τ) is not a T_0 space but $\tau' = \tau(\{x, y\})$ is a T_0 topology on S, so (S, τ) is quasi- T_0 .

Furthermore $\tau'(\{x',y'\})$ is the discrete topology on S and then (S,τ') is quasi-T_i, i = 1,2,2¹.

PROPOSITION 1. If (S,τ) is quasi-T₁ then (S,τ) is T₀.

Proof. Let $\tau' = \tau(X)$ be a T_1 simple extension of τ ; trivially $\{A \cap X / A \in \tau(a)\}$ is a fundamental neighbourhood system of a point a ϵX while $\tau(b)$ is a fundamental neighbourhood system of a point b $\notin X$.

Now let $x \neq y$ be two points of S; if $x \notin X$, an open neighbourhood U $\in \tau(x)$ exists such that $y \notin U$; if $x, y \in X$, $A \cap X \in \tau'(x)$ and $y \notin A \cap X$, then $A \in \tau(x)$ and $y \notin A$.

While every quasi-T, space, $i = 1, 2, 2\frac{1}{2}$, is T₀, example 2 shows

that a quasi-T_i space is not necessarily T_i even for i > 0.

Definition 2. Let i, j = 0,1,2,2½. We say that (S,τ) is QT_i if it is quasi- T_i and it is T_j if j < i. Trivially (S,τ) is QT_0 (QT_1) iff it is quasi- T_0 (quasi- T_1). If we denote by T_i (QT_i) , i = 0,1,2,2½, the class of T_i (QT_i) to pological spaces, then the following proper inclusions hold

$$QT_0 \supset T_0 \supset QT_1 \supset T_1 \supset QT_2 \supset T_2 \supset QT_{2\frac{1}{2}} \supset T_{2\frac{1}{2}}$$

Example 2 proves the existence of non-T $_0^{QT}$ spaces and non-T $_1^{QT}$ QT spaces. Further examples provide us the truth of other strict inclusions.

Example 3. If S = {a,b,c} and $\tau = {\emptyset, \{a\}, \{a,b\}, S\}}$, then (S, τ) is a T₀ but not a QT₁ space.

Example 4. We remark that the particular point topology and the escluded point topology on a set S belong to QT_1 but not to T_1 .

In the first case we obtain a T_1 simple extension by the complement of the particular point; in the second case we obtain the same result by the set containing the only excluded point.

Nevertheless non-T₁ QT₁ spaces having non-discrete T₁ simple extensions exist: is fact let us consider an infinete set S, take two distinct points a \neq a in S and call A \underline{c} S open iff CA if finite and a e A \implies a' e A; the simple extension of such a topology on S by the subset C{a'} is then the cofinite topology on S.

Example 5. Let τ be the cofinite topology on the infinite set S. We shall prove that (S, τ) is a non-QT₂ T₁ space.

If $X \subseteq S$, $X \notin \tau$ (i.e. S-X is infinite) and $\tau' = \tau(X)$ let us take two distinct points $x \neq y$ in S-X and two open neighbourhoods $U \in \tau'(x)$, U = A U (B^X) and $V \in \tau'(y)$, $V = A' U(B' \cap X)$; we have $x \in A \in \tau$ and $y \in A' \in \tau$ so $U \cap V \supseteq A \cap A' \neq \emptyset$ and $\tau(X)$ is not a T_2 topology.

Example 6.(Modified Fort Space, see [4]). Let S be the union of an infinite set N with a set having only two distinct points $x \neq y$ not belonging to N; consider the topology τ on S whose open sets are the cofinite sets in S and the subsets of N.

Trivially (S,τ) is a T₁ but not a T₂ spaces; furthermore we see that $\tau(\{x,y\})$ is the discrete topology and consequently (S,τ) belong to QT_2 .

Example 7. (Relatively Prime integer topology, see [4]). Let S=Z be the set of positive integers and, if a,b e S,(a,b) = 1 let us

consider $U_a(b) = \{b+na \in S/neZ\}$; the family $\Re = \{U_a(b)/a, b\in S, (a, b) = a\}$ = 1} is a basis of a topology τ on S.

It is well-know that (S,τ) is a T₂ but not a T_{2^{1/2}} space; we shall now prove that τ is not a QT_{2^{1/2}} topology on S.

Let $\tau' = \tau(X)$ be a simple extension of τ . We recall that the family $\{U_p(x) \cap X/(p,x)=1, p \in Z^+\}$ is a fundamental neighbourhood system of $x \in X$ in τ' as well as $\{U_p(y)/(p,y) = 1, p \in Z^+\}$ is a fundamental neighbourhood system of $y \in S-X$ in τ' .

First we suppose that for each xeX a positive integer h exists such that hx e S-X, and we consider two distinct points $x \neq y$ in S-X and two fundamental neighbourhoods U (x). U (y) of x, y respect

S-X and two fundamental neighbourhoods $U_p(x)$, $U_p(y)$ of x,y respectively. Then we have

$$c_1$$
, $(U_p(x)) = c_1(U_p(x)) \cap (C_X \cup c_1(U_p(x) \cap X))$

$$c_{\tau}^{(U_q(y))} = c_1(U_q(y)) \cap (CX \ UC_1(U_q(y) \cap (X))).$$

As the closure in τ of $U_p(x)$ (of $U_q(y)$) contains all multiples of p (of q) in S and CX contains at least one multiple of [p,q], we have $cl_{\tau}, U_p(x) \cap cl_{\tau}, U_q(y) \neq \emptyset$ and consequently $\tau(X)$ is not a T_2 topology on S.

Now we suppose that each positive integer multiple of x is in X for some x in X. We consider such an x and we observe that if $k \in Z^+$, $p \in Z^+$ and (p,kx) = 1, then

 $U_p(kx) \cap X \ge \{(k-mp)x \in S/m \in Z\} = A_{pk}$.

It is easily seen that $\{ptx/teZ^+\} \stackrel{c}{=} c1A_{pk}$; in fact given any integer a prime with ptx we have

$$U_a(ptx) \cap A_{pk} \neq \emptyset \iff]n, m \in \mathbb{Z}$$
 such that $an+pxm+(pt-k)x = 0$.

This is surely true since a and px are relatively prime.

If we take $h \neq k$ in Z^+ and p,q in Z^+ prime with kx, hx respectively then the closures

$$c1_{\tau} (U_{p}(kx) \cap X) = c1(U_{p}(kx) \cap X) \supseteq A_{pk} U\{ptx \ e \ S/teZ\}$$

$$c1_{\tau} (U_{q}(hx) \cap X) = c1(U_{q}(hx) \cap X) \supseteq A_{qh} U\{qrx \ e \ S/r \ e \ Z\}$$
have a non-empty intersection and $\tau(X)$ is not $T_{2\frac{1}{2}}$ in this case too-

We remark that also the coarser prime integer topology (see [4] again) is a T_2 but not a $QT_{2\frac{1}{2}}$ topology.

Example 8. The Double Origin Topology, the Semplified Arens Square and the Minimal Hausdorff Topology considered in [4] provide examples of $QT_{2\frac{1}{2}}$ spaces. We give a detailed description of the third case only.

Let us consider the topological product of $A=\{1,2,3,\ldots,\omega,\ldots,-3,$ -2,-1} linearly ordered with the interval topology and the discrete topological space (Z^+,α) ; let (S,τ) be obtained from such topological product by adding two ideal points a and -a whose fundamental neighbourhoods are of the kind

 $\{a\} \cup \{(i,j)/i < \omega, j > n\}$ and $\{-a\} \cup \{(i,j)/i > \omega, j > m\}$

respectively.

 (S,τ) is a minimal Hausdorff non-T₂ space while the simple exten-

sion of
$$\tau$$
 by X = {a}U{(i,j)/i $\leq \omega$, j > 0} is a T topology on S.

In order to give a characterization of QT_1 topological spaces we shall denote by T the set of those points which are in the closure of some other distinct point in the space (S, τ) i.e.

$$T = \bigcup_{x \in S} ((c1\{x\}) - \{x\}).$$

Trvially $(S,\tau) \in T_1$ iff $T = \emptyset$.

LEMMA 1. X <u>c</u> S, (S, $\tau(X)$) e T₁ \implies T <u>c</u> X.

Proof. If there were two distinct points $x \neq y$ such that yecl{x}

and $y \notin X$, then $\tau(y)$ would be a fundamental neighbourhood system of y in $\tau' = \tau(X)$ and it would follow from y e cl{x} that every neighbourhood in $\tau'(y)$ contains x.

PROPOSITION 2. (S,
$$\tau$$
) $\in QT_1 \iff (T, \tau_{|T}) \in T_1$.

Proof. \Longrightarrow) Let X be a subset of S such that $(S,\tau(X)) \in T_1$; it follows from lemma 1 that $T \subseteq X$ and consequently $\tau_{|T} = \tau(X)_{|T}$; so $(X,\tau_{|T}) \in T_1$.

 $\Leftarrow) It is easily seet that (S,\tau') \in T_1, if \tau' = \tau(T).$ First we consider a, beT, a \neq b; as each open set in $\tau_{|T}$ belongs to τ' and $\tau_{|T}$ is a T_1 topology, then U $\in \tau'(a)$ and V $\in \tau'(b)$ exist such that b \notin U and a \notin V.

If a e T and b e S-T, we have $b \notin (c1\{y\}) - \{y\}$ for each y eS;

in particular b \notin (cl{a})-{a} and, of course, b \notin cl{a};Ue τ (b) $\underline{c}\tau$ '(b) exists such that a \notin U. On the other hand we can condider A $e\tau$ (a) and the open set (A \cap T) $e\tau$ ' is an open neighbourhood of a in τ ' which does not contain b.

The case $a,b \in S-T$, $a\neq b$, is trivial.

Remarks. If the set T defined above is a non-trivial open set in (S,τ) , then (S,τ) cannot be a QT₁ space.

The set T in the spaces of example 4 is closed. This is not true for all spaces. For instance let us consider an infinite set S and fix aeS; let the open sets in τ be the cofinite subsets of S containing a.(S, τ) is a T₀ space and its subspace T = S - {a} is a T₁ space; (S, τ) is then a QT₁ space and T = S - {a} is not a closed

subset.

PROPOSITION 3. If (S,τ) is a QT regular space which has a T regular simple extension, then (S,τ) is a T space.

Proof. Let $(S,\tau(X))$ be a T_0 regular simple extension; then $(S,\tau(X))$ is a T_2 space and consequently $(S,\tau) \in QT_2$. If follows from proposition 1 that (S,τ) must be T_0 and the assertion is true.

Remark. The existence of a T_0 regular simple extension is an essential hypothesis in proposition 3; the space $S = \{a,b\}$ with the indiscrete topology is a QT_0 regular non- T_2 space.

2. We shall denote by $\mathscr{L}(S)$ the complete lattice of topologies on a given set S under set inclusion. The least element is the indiscrete topology and the greatest element is the discrete topology.

In agreement with [2] we shall call antiatom of $\mathcal{L}(S)$ any topo-

logy on S which is coarser than itself and the discrete topology only. It is well known that the antiatioms are the ultratopologies $a\underline{1}$ ready described in [2].

Definition 3. If R is a topological property which is preserved under expansions, we shall say that (S,τ) is a maximal non-R (MNR) space if it is not a R space but every proper expansion of τ is a R topology.

Remarks. (S,τ) is MNR iff τ is not R but $\tau(X)$ is R for each $X \neq \tau$. Furthermore it is obvious that a MNR space is a quasi-R space.Finally we remark that the spaces given in examples 2,4,6,8 are QT_i but are not MNT_i spaces, i=0,1,2,2½ respectively.

 $\begin{array}{l} {\rm MNT}_{1} \ {\rm spaces, \ I=0,1,2,3, \ were \ considered \ by \ Thomas \ in^{-} [5]; \ here} \\ {\rm we \ shall \ give \ more \ detailed \ characterizations \ and \ properties \ of} \\ {\rm MNT}_{1} \ {\rm and \ MNT}_{2} \ {\rm spaces \ and \ a \ proof \ that \ no \ T_{2} \ MNT_{2\frac{1}{2}} \ {\rm space \ exists.} \\ {\rm If \ follows \ trivially \ from \ proposition \ 1 \ that \ every \ MNT_{1}, \ i>0, \\ {\rm space \ is \ a \ T_{0} \ space. \ Furthermore \ the \ following \ results \ are \ easily \\ {\rm seen \ to \ be \ true \ and \ can \ be \ found \ in \ [2] \ and \ [5]. \end{array} } } \end{array}$

Let (S,τ) be a non-T₁ topological space. Then (S,τ) is MNT₁ iff (S,τ) is an antiatom in $\mathscr{L}(S)$.

If (S,τ) is MNT₁ then (S,τ) is MNT₂, MNT₂₁, MNT₃. Every regular antiatom in $\mathscr{L}(S)$ is a T₁ space. Thomas proved in [5] that every non-T₀ (non-T₁,non-T₂) topology is coarser than a MNT₀ (MNT₁,MNT₂) topology; moreover each T₁ non-T₂ topology is included in a MNT₂ topology which is a MNT₃ topology too.

We shall prove a characterization of $T_1 = MNT_2$ spaces and obtain as an immediate consequence (example 9) the construction of a T_1 MNT₂ space already given by Thomas in $\lfloor 5 \rfloor$ theorem 1.

Next we realize that all $T_1 MNT_2$ topologies are the ones we de scribe in example 9.

PROPOSITION 4. Let (S,τ) be a T_1 space. (S,τ) is MNT₂ iff the following conditions hold

1) Only two distinct points x \$ y exist in S such that each neighbourhood of x intersect each neighbourhood of y.

2) The subspace $S - \{x, y\}$ has the discrete topology.

3) $X \in S, X \notin \tau$, $x \in X (y \in X) \Longrightarrow CX U\{y\} \in \tau (CX U\{x\} \in \tau)$.

Proof. The given conditions are necessary.

Trivially distinct points $x \neq y$ exist which verify the first condition; if there were another point z, $z \neq x$ and $z \neq y$, such that the neighbourhoods of z and those of y intersect each other, then y and z would be non-separated points in $\tau(\{x\})$, which contradicts the hypothesis since $\{x\}$ is a non-open set.

Every point distinct from x and y must be open; otherwise х and y should be non-separated points in $\tau(\{a\})$, if $\{a\}$ were a non-open subset of S.

In order to verify the third condition, we first prove that if $X \subseteq S$ and $X \notin \tau$ then $CX \in \tau$.

Indeed let $\chi \subseteq S$ be neither open nor closed so that $\tau(X)$ and $\tau(CX)$ are T₂ topologies; by 1) and 2) X contains only one (say x) of the points x,y and consequently we can find $Ae\tau(x)$, $Be\tau(y)$

such that $(A \cap X) \cap B = \emptyset$ i.e. $A \cap B \subseteq CX$. Then if $U \in \tau(x)$, $V \in \tau(y)$ we have $(U \cap V) \cap CX \neq \emptyset$: othewise we should have $U \cap V \subseteq X$ and the open neighbourhoods $(U \cap A) \in \tau(x)$, $(V \cap B) \in \tau(y)$ would separate x and y in τ . Eventually we obtain, from the assumption that X is neither open nor closed, that for every $U \in \tau(x)$ and $V \in \tau(y)$ one must have $U \cap (V \cap CX) = (U \cap V) \cap CX \neq \emptyset$ which contradicts the Hausdorff character of the topology $\tau(CX)$.

Finally we suppose that $X \subseteq S$, $X \notin \tau$, $x \in X$. If $y \notin X$ be condition 3) is trivial; if $y \in X$ and $CX \cup \{y\} \notin \tau$ we contradict the hypothesis by finding two disjoint neighbourhoods of x in τ in the following way: we consider $Ue\tau(x)$ and $Ve\tau(y)$ such that $U \cap X$ and V separate x and y in the T_2 topology $\tau(X)$; then $(U \cap V) \cap X = \emptyset$; since $(X-\{y\}) \in \tau(x)$ we have $U' = (X-\{y\}) \cap U \in \tau(x)$, $V \in \tau(y)$ and $U' \cap V = \emptyset$.

The conditions are sufficient.

By 1) (S, τ) cannot be a T₂ space.

Now let X be a non-open subset of S containing x; CX U{y} is an open neighbounhood of y in τ wich does not intersect the open neighbourhood X -{y} of x in $\tau(X)$; hence $\tau(X)$ is a T₂ topology.

Remark. The T_1 axiom is only requested to show that conditions 1), 2), 3) in proposition 4 are sufficient to have a MNT₂ space.

Example 9. Let $S = \{x,y\} U N$ where $x,y \notin N$ and N is an infinite set. Furthermore let ϕ be an ultrafilter on N such that $\bigcap_{Fe\phi} F=\emptyset$. Then $\tau = \{A \subseteq S/A - N \neq \emptyset \Longrightarrow A \cap N \in \phi\}$ is a T_1 but not a T_2 topology on S. The conditions of proposition 4 are easily verified; hence (S,τ) is a MNT₂ space.

COROLLARY 1. The spaces described in example 9 are the only

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T₁MNT₂ spaces.

Proof. Let (S, τ) be a $T_1 MNT_2$ space and consider an ultrafilter υ with two distinct limit points $x \neq y$ in S; of course x,y are the points of conditions 1),2) in proposition 4.

Let N be the complement of $\{x,y\}$ in S. It is easily proved by condition 3) that $\tau(x) \cap N = \tau(y) \cap N = \upsilon \cap N$ which complete the proof.

COROLLARY 2. Each MNT_2 space is a $MNT_{2\frac{1}{2}}$ space too. Proof. It follows trivially from [5] (theorem 1) and corollary 1. COROLLARY 3. The bicompact subsets ⁽¹⁾ of a T, MNT, space are

closed.

Proof. Let $S = \{x,y\} UN$ be a $T_1 MNT_2$ space with the notations of example 9. If A <u>c</u> S is an infinite subset of S, then A N is an infinite subset too; we can consider U e ϕ such that A-U is <u>in</u> finite; the open cover{{a} /aeA-U} U {Uu{x,y}} of A has no finite subcover and consequently A is not compact. The compact subset of S are therefore the finite subsets of S which are closed of course.

Remark. The existence in (S,τ) of only two distinct non-separa ted points is not a sufficient condition in order to prove that all bicompact subsets of S are closed. For instance if we consider the space of example 6 we can see that $P = \{x\} UN$ is a bicompact non-closed subset of S.

(¹) Here bicompact means that each open cover has a finite subcover.

Separation axioms by simple extensions

No $T_1 \ MNT_2$ space exists which is a bicompact space. We are now going to verify that each $T_2 \ non-T_{2\frac{1}{2}}$ topology on a set S has a non- $T_{2\frac{1}{2}}$ simple extension i.e. that there exists no MNT₂₁ space wich is a T_2 space too.

Let (S,τ) be a T_2 non- $T_{2\frac{1}{2}}$ space and let $x \neq y$ be two distinct points of S such that $c1U^nc1V \neq \emptyset$ $\forall U \in \tau(x)$, $V \in \tau(y)$. Then we have the following

LEMMA 2. The family $\mathcal{B} = \{P \in S / \} \cup e \tau(x), V \in \tau(y) : \cup \cap V = \emptyset$ and $c \sqcup \cap c \sqcup V = P\}$ is a basis of a filter ϕ on S that has no cluster point.

Proof. Trivially $\emptyset \notin \mathscr{B}$; if U,U' $\in \tau(x)$, V;V' $\in \tau(y)$ and $c1U\cap c1V = P \in \mathscr{B}$, $c1U'\cap c1V' = P' \in \mathscr{B}$, then U'' $= U\cap U' \in \tau(x)$, V'' $= V\cap V' \in \tau(y)$ and P'' $= c1U''\cap c1V'' \underline{c} P\cap P'$ is an element of \mathscr{B} .

Now let us call ϕ the filter wich has \mathscr{B} as a basis.

If z were a cluster point of ϕ , $z \neq x$, then z would belong to the closure of every neighbourhood of x, which contradicts the assumption that (S, τ) is a T₂ space; the same argument shows that there exists no cluster point distinct from y.

Remark. Since ϕ is a closed filter, we must have $\bigcap_{F \in \phi} F = \emptyset$ from lemma 1 and consequently every element of ϕ has more than one point. Now let us consider M $\in \tau(x)$ such that the family

 $\mathscr{V} = \{ V \in \tau(y) / M \cap V = \emptyset \}$

is non-empty.

Trivially C(clM) belongs to ψ and then it is easily seen that

$$\bigcup_{V \in \mathscr{V}} c1M \cap c1V = c1M - int(c1M) = P \in \phi$$

PROPOSITION 5. With the notations given above and assuming that (S,τ) is a T_2 non- $T_{2\frac{1}{2}}$ space, then (S,τ) has a non- $T_{2\frac{1}{2}}$ simple extension.

Proof. We shall denote again by x and y two distint points such that $clU \cap clV \neq \emptyset$ $\forall U \in \tau(x)$, $V \in \tau(y)$.

First we see that the subset P e ϕ already considered above is not open in τ . Indeed if P were an open subset in τ , then we should have int(clM) U P e $\tau(x)$ and, since int(clM) U P = clM, clM and N = C(clM) would be clopen neighbourhoods of x,y respectiv ely, which is an absurd.

Now we consider a non-open subset $\{z\} \subseteq P$ and we prove that $\tau' = \tau(\{z\})$ is not a $T_{2\frac{1}{2}}$ topology.

In fact note that $z \notin \{x,y\}$, recall $\tau(x)$, $\tau(y)$ are fundamental neighbourhood systems of x,y in τ' and consider $A \in \tau(x)$, B $\in \tau(y)$; since the elements of ϕ have more than one point we have

$$c1_{\tau}, A \cap c1_{\tau}, B = c1A \cap (C\{z\} \cup c1(A \cap \{z\})) \cap c1B \cap (C\{z\} \cup c1(B \cap \{z\})) \supseteq$$
$$\supseteq (c1A \cap c1B) - \{z\} \neq \emptyset .$$

It is proved in [5] that the MNT_2 spaces are precisely the MNT_3 spaces. A similar result for MNT_2 and $MNT_{2\frac{1}{2}}$ spaces follows trivially from proposition 5 and corollary 2.

Separation axioms by simple extensions

COROLLARY 4. The MNT₂ spaces are precisely the MNT₂₄ spaces.

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